

FY 2006-2007 NON-RESIDENTIAL PROPOSAL

Proposal Element 1: COVER SHEET

CSCD (CHIEF COUNTY OF JURISDICTION): Travis

PROGRAM NUMBER: Number to be assigned

PROGRAM TITLE: Technical Violators Specialized Caseload

CHECK IF REQUESTING: DP FUNDING TAIP FUNDING
CCP FUNDING BS FUNDING

PRIMARY FUNDING RECIPIENTS: CSCD:

NON-CSCD: BIPP OTHER
NON-CSCD FUNDING RECIPIENT NAME: _____
REGIONAL CONSORTIUM:

ESTIMATE OF OTHER FUNDING SOURCES:
(NOT CJAD FUNDING SOURCES, NOT PARTICIPANT PAYMENTS)

FUNDING SOURCE	1 st Year	2 nd Year
RSAT	\$ _____	\$ _____
Victims Services	\$ _____	\$ _____
Violence Against Women Act (VAWA)	\$ _____	\$ _____
Gang Surveillance	\$ _____	\$ _____
COG	\$ _____	\$ _____
Other:		
_____	\$ _____	\$ _____
_____	\$ _____	\$ _____
Total	\$ _____	\$ _____

PROGRAM CODES*
(Code is DMVB for all BIPPs)

Primary Program Code: SCP R Facility Category (CRS) _____
Secondary Program Code(s): COG

*A PROJECTED OUTPUTS FORM MUST BE COMPLETED FOR EACH CODE.

Program Contact Information:

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Vendor: Does contract service provider provide services? No Yes

Proposal Element 2: PROBLEM/NEED DATA

1. TDCJ-CJAD planning staff will gather additional problem/need data from the MCSCR, Offender Profile Data, and CSTS to establish need.
2. Indicate Historic/Programmatic Information that substantiates your jurisdiction's need for this program (optional). (See appendix __ for an example)

Since 1993, both the number of technical violations and the proportion of revocations classified as technical have increased in Texas. This increase is a major contributor to the rise in Texas prison and jail populations. In recent years, jurisdictions have been encouraged to develop correctional programming and other prescribed sanctions to detect and swiftly respond to these violations. During 2002, 34% of felony revocations in Travis County were for technical grounds only. Of these revocations, 33% were due to non-participation in court-ordered treatment and positive urinalysis.

Additionally, available data indicates that over 90% of revoked felony offenders had a maximum risk and/or need score on the case classification instrument. Currently, Travis County CSCD has three caseloads of high-risk offenders and one caseload for youthful offenders (age 17-24). Historically, the Department has not been able to provide for placement of many high-risk and youthful offenders on these limited caseloads. The Department needs to develop additional sanctions and programming in response to the numerous technical violations of this group of offenders. This project will provide increased supervision, more intensive cognitive programming, swift and appropriate response to early violations through graduated sanctions, and incentives for success. Additionally, utilization of weekend shock probation in the county jail will be another sanction that may be employed.

3. What **other services**, that meet this need, are available to the offender in this jurisdiction?

Specialized caseloads for high-risk and youthful offenders are available, but limited in Travis County. The majority of youthful and high-risk offenders are assigned to field supervision officers with caseloads of 130-150 offenders. These offenders may be referred to an administrative hearing for technical violations; however, the opportunity for swift response and monitoring of compliance is limited due to the large caseload of a regular field supervision officer. Additionally, participation in cognitive behavioral classes is currently limited to offenders on specialized caseloads.

These offenders may access substance abuse assessment and funded treatment. Other Departmental referrals to educational classes and counseling are available for these offenders, but due to long waiting lists for treatment, intervention response time is not optimum for these offenders.

Proposal Element 3: TARGET POPULATION

Please note that the Target Population element does not require narrative description. TDCJ-CJAD staff will gather additional information from the MCSCR, Offender Profile Data, and CSTS.

- a. Felony only Misdemeanor only Both
- b. Male only Female only Both

c. Age restriction? No Yes

If yes, describe: Offenders should be 17-25

d. Offense-related characteristics or exclusions? No

e. Are participant referrals accepted from outside your jurisdiction? No Yes

If yes, what proportion are from other jurisdictions _____ %.

f. Is this program designed to serve any specific cultural, ethnic, or gender group?

No Yes

If yes, please identify and cite proportions, if applicable. _____

g. Is this program designed to serve MHMR participants? No Yes

h. Are participants who are not on community supervision accepted in this program? (e.g. pre-trial, jail inmates, state jail confinees, family members, or others) No Yes

If yes, please identify. _____

i. Do participants meet specifications in TX Government Code §76.017 Treatment Alternative to Incarceration Program (TAIP)? {This applies to **TAIP** programs **ONLY**} No Yes N/A

Proposal Element 4: PROGRAM DESCRIPTION AND PROCESS

REQUIRED STANDARD OPERATING PROCEDURES

Travis County CSCD will structure a program to address felony high-risk/high-need technical violators between the ages of 17-25. A technical violation is defined for this project as a violation of a court-ordered condition of community supervision that is not alleged to be a violation of an ordinance, or state, or federal criminal statute. Components and goals of this program will include:

- Development of a progressive sanctions matrix to assist field officers in responding to technical violations
- Referral of identified high-risk/high-need (maximum level of supervision) offenders to an administrative hearing process for technical violations
- Administrative Hearing Officer employed on a contract basis to conduct hearings in a quasi-courtroom setting
- Identification and utilization of additional progressive sanctions, such as referrals to a specialized caseload, cognitive behavioral classes, substance abuse treatment, additional Community Service Restitution hours, and electronic monitoring
- Specialized caseloads for identified technical violators to monitor offender's compliance and swiftly respond to non-compliance
- Offender progression through a series of clearly defined Phases, allowing offenders to participate in both correctional programming and progressive sanctions.
- Frequent review of an offender's progress in meeting specific goals through an administrative hearing process
- Reduction of incarceration and the use of county jail resources for offenders who have historically been arrested for only technical violations

Any felony offender meeting the age and maximum supervision level may be referred to this program as a result of a supervisory/administrative hearing, or by the Court as a result of a motion to revoke. Offenders with mental health issues will not be eligible for this project. A special set of conditions will be utilized to address the reassignment of this offender to the specialized TV (Technical Violator) caseload and to identify special activities that may be required of the offender. The presiding Judge in the originating court must sign off on this document. Serious non-compliance, such as subsequent arrest or lack of progress in adhering to program phase work, will result in the filing of a Motion to Revoke and immediate placement on the docket of the originating court.

Staffing for this program will include specialized community supervision officers. Caseload numbers will be no more than 50 offenders. The ability to provide for immediate response to non-compliance will be a necessary component of this program. Sanctions to include jail time in which offenders are booked into the Travis County facility to complete weekend time or participate in CSR work will need to be developed. Collaboration to provide supervision of offenders during these activities can be established through the Department's weekend CSR program.

Offenders will attend periodic administrative hearings to address non-compliance and progress. Positive behavioral changes, program completions, and further compliance with conditions will be rewarded by recognition at these hearings, movement through the phases with less reporting requirements, etc., and by assignment back to a regular caseload.

Contract Monitoring

The Department has an annual plan to monitor contracts for compliance using a standardized Site Visit process or desktop audit process. A Site Visit Team, composed of CSOs and Supervisors, will use a contract compliance monitoring instrument to monitor contracts based on vendor's service delivery compliance with the vendor's operational plan and other contractual requirements. Any identified deficiencies in contract compliance will result in specific recommendations to vendor(s) to achieve contract compliance. Vendors will be required to submit an Action Plan on how they will achieve contract compliance. The Department will provide technical assistance to the vendor as needed. Appropriate staff will complete documentation of offender compliance to program expectations.

Responsivity

This program recognizes the principles of responsivity in developing and implementing the program design. Responsivity issues are initially addressed during the screening/placement process. When appropriate, staff assignment will include the offender being matched with a CSO/Counselor/designated staff whose characteristics would be most effective in establishing rapport with the offender. All direct service staff will receive special needs population training to enhance responsivity and ensure effective service delivery. Additionally, staff will be trained in motivational enhancement techniques.

Tracking

On an annual basis, the Department will track program outputs and monitor outcomes to assess utilization of services and supervision activities.

SOP

SOPs will be available by November 2005 if this program is funded.

REFERRAL PROCESS

Court Ordered **Assessment Process** **Self Referral**

Other: _____

PARTICIPANT ACTIVITIES

Upon assignment to the specialized caseload for technical violators, the offender will be expected to attend an administrative hearing with his/her CSO. Prior to the hearing, the CSO will review the offender's criminal history, pattern of non-compliance, and PSI. An offender's strengths and areas of need will be identified. This assessment and prioritization plan will be presented to the administrative hearing officer and reviewed with the offender at the first administrative hearing. An individualized behavioral contract will be established at that time along with a set of conditions outlining expected activities and sanctions for all offenders in the TV program. All offenders will participate in cognitive-behavioral classes to provide them with practical strategies for changing their behavior. One of the identified needs of young offenders is educational programming. Offenders without a GED or high school diploma will be required to participate in either the Department's program or a similar one in the community. Offenders may be required to attend a variety of programming such as cognitive impulse control, life skills, employment preparation, etc.

The phases of the program include:

Phase I: Assessment and Prioritization (Month 1)- Expectations are clarified for the offender.

Activities: Weekly court appearances; weekly contact with CSO; referral to first prioritized programming (i.e. substance abuse treatment), counseling, GED classes, cognitive-behavioral classes, cognitive impulse control programs.

Phase II: Building Compliance (Month 2-6) - To build compliance, offenders must find some common ground for agreement that conditions serve some purpose for them or provide some incentives.

Activities: Cognitive-behavioral classes to be completed during this period; review prioritizing goals to include other conditions, such as CSR, educational referrals, etc.; attend administrative hearings at least monthly; have two contacts with CSO monthly.

Phase III: Accountability (Month 6-12)- Offenders can verbalize and show behavioral change to reflect personal accountability.

Activities: Monthly court appearances; one contact with CSO monthly, participation in support programming and groups; completion of special conditions; no further technical violations.

A formalized continuum of progressive sanctions will be developed. Additional sanctions and correctional programming that may be imposed by the administrative hearing officer include the following:

Assessment for Substance abuse treatment or other counseling, Additional treatment as recommended, Weekend Shock probation in the County Jail, Educational programming, Referral to WorkSource Services, Electronic monitoring, Ignition Interlock, Random UAs, Additional CSR work, Weekend CSR crew, and Jail CSR crew.

Upon the recommendation of the administrative hearing officer, the Judge may sentence an offender to time at an Intermediate Sanction Facility.

CHOICE OF PROGRAM DESIGN

According to the Overview of Probation for the 79th Texas Legislature, “revocations have grown faster than population or resources in Texas” (CJAD, 2005). Dealing with this problem has been identified as one of the strategies to strengthen community supervision in the State. To affect this problem, CJAD has identified the need for development of a system of progressive sanctions to address technical violations. The Technical Violators Specialized Caseload will develop such a system for Travis County. In addition, the program will employ quick responses to probation violations that balance intensive supervision with appropriate programming for young offenders. Travis County has identified this group of offenders as being overly represented in the revocation population for technical violations.

In 1993, Gendreau identified programs that “work” to impact positively the behavior of high-risk offenders and reduce recidivism to include these principles: “Intensive services which are behavioral in nature; Use of positive reinforcement, modeling techniques, concrete skill building, and problem-solving; Staff relating to offenders in an interpersonal and constructive manner; Enforcing program contingencies in a firm but fair manner; Highly structured programs”. These principles are incorporated into the Technical Violators Specialized Caseload.

PROGRAM STAFF AND PROGRAM STAFF ACTIVITIES

1. Staff (Title) Administrative Hearing Officer (Contract half-time position)

Process Activities: Will conduct Administrative Hearings for Technical Violators Specialized Caseload participants to ensure compliance with individualized goals and phases; Participates in staffing of cases prior to Hearings

2. Staff (Title) Community Supervision Officer (2)

Process Activities: Will provide case management and monitoring of offenders; Will assist in making recommendations to the Administrative Hearing Officer concerning participant's compliance and appropriate sanctions

3. Staff (Title) Secretary

Process Activities: Will provide clerical support for CSOs and hearing officer including being responsible for making assignment of offenders to the Administrative Hearings, documenting outcomes of hearings and collection of data for program reports/tracking

4. Staff (Title) Contract Cognitive Impulse Control Counselor

Process Activities: Will conduct Cognitive Impulse Control Groups as referral resource for Technical Violators Specialized Caseload

ADDITIONAL PROGRAM DATA

Please indicate that program design and/or staff training includes sensitivity to gender, race, ethnicity, culture and differing physical abilities.

YES

Proposal Element 5. PROGRAM MILESTONES

Is this a new program? No Yes

If yes, please complete milestones chart. **If no, this element is optional. Do not insert if chart is blank.**

	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug
Locate Site & Contract	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Staff. Ad. Hire & Initial Training	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOP	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Participant Intake	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quarterly Report	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Revise Budgets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Submit Data Reports	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional Staff Training	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Annual Fiscal Report	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Annual Program Report	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Monitor Vendors	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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PROJECTED PROGRAM OUTPUTS/OUTCOMES FOR FY 2006 - 2007

DATA FORM

Program Title: Technical Violators Specialized Caseload Chief CSCD County: Travis
Program Code: SCP R Facility Category: NA
Data Contact Person: Lila Oshatz Projected Number to be served: 150

General Instructions: The purpose of this form is to provide projections for services that will be provided with funding obtained from the program proposal. Provide projections for the applicable information for the services offered to participants during the funding cycle. Only include services that will be paid for from the program proposal award. Do not include referrals or other services that will be provided to program participants outside the program proposal. Complete a separate form for each program code that was listed on the CSCDP Cover Sheet. Please provide counts, not percents, and make sure all blanks are filled. Answer with "N/A" if not applicable.

A. Group/Individual Counseling

Number of Participants 50

B. Urinalysis Tests

Number of Individuals Tested NA See Program Services Proposal

C. Academic Education Services

Number of Participants NA

Number Mandated by CCP 42.12 Sec. 11(g) NA

Number of GEDs obtained NA

D. Electronic Monitoring

Number of Participants NA See Program Services Proposal

E. Cognitive Training/Cognitive Behavioral

Number of Participants 150

F. Substance Abuse Education

Number of Participants NA See Program Services Proposal

G. Employment Services

Number of Participants NA

Number who secured employment for 3 days or longer NA

H. Victim Services

Number of Victims Served NA

Number of Victim-Impact panels held NA

Number of Victim-Offender mediations completed NA

Outcomes – Successful Program Completion

Number of participants successfully completing the program 98

Date: March 1, 2005